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FNY Investment Advisers, LLC and
Proposed Lead Counsel for the Class*

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
SAN FRANCISCO DIVISION

KALMAN ISAACS, on behalf of himself and
all others similarly situated,

Plaintiff,

vs.

ELON MUSK and TESLA, INC.,

Defendants.

Case No. 3:18-cv-04865-EMC

Hon. Edward M. Chen

CLASS ACTION

CERTIFICATION OF ROBERT N.
CAPPUCCI PURSUANT TO CIVIL LOCAL
RULE 3-7(d)

Date: November 15, 2018

Time: 1:30 p.m.

Place: Courtroom 5, 17th Floor

*[Additional captions appear on following
pages]*

1 WILLIAM CHAMBERLAIN, on behalf of
himself and all others similarly situated,

2 Plaintiff,

3
4 vs.

5 ELON MUSK and TESLA, INC.,

6 Defendants.

Case No. 3:18-cv-04876-EMC

7 JOHN YEAGER, individually and on behalf of
8 all others similarly situated,

9 Plaintiff,

10 vs.

11 ELON MUSK and TESLA, INC.,

12 Defendants.

Case No. 3:18-cv-04912-EMC

13
14 CARLOS MAIA, on behalf of himself and all
15 others similarly situated,

16 Plaintiff,

17 vs.

18 ELON MUSK and TESLA, INC.,

19 Defendants.

Case No. 3:18-cv-04939-EMC

20 KEWAL DUA, Individually and on Behalf of
21 All Others Similarly Situated

22 Plaintiff,

23 vs.

24 ELON MUSK and TESLA, INC.,

25 Defendants.

Case No. 3:18-cv-04948-EMC

26
27
28

JOSHUA HORWITZ, Individually and on
Behalf of All Others Similarly Situated

Plaintiff,

vs.

ELON MUSK and TESLA, INC.,

Defendants.

Case No. 3:18-cv-05258-EMC

ANDREW E. LEFT, Individually and on
Behalf of All Others Similarly Situated

Plaintiff,

vs.

ELON MUSK and TESLA, INC.,

Defendants.

Case No. 3:18-cv-05463-EMC

ZHI XING FAN, Individually and on Behalf of
All Others Similarly Situated

Plaintiff,

vs.

ELON MUSK and TESLA, INC.,

Defendants.

Case No. 3:18-cv-05470-EMC

SHAHRAM SODEIFI, Individually and on
Behalf of All Others Similarly Situated

Plaintiff,

vs.

TESLA, INC., a Delaware corporation, and
ELON R. MUSK, an individual,

Defendants.

Case No. 3:18-cv-05899-EMC

1 Pursuant to Northern District Local Rule 3-7(d), I, Robert N. Cappucci, declare under
2 penalty of perjury, that I do not directly own or otherwise have a beneficial interest in the
3 securities that are the subject of this action.

4 I declare under penalty of perjury that the foregoing is true and correct. If called as a
5 witness, I could and would competently testify thereto.

6 Executed this 10th day of October, 2018.

7
8
9 /s/ Robert N. Cappucci

10 Robert N. Cappucci

11
12 **Certificate Pursuant to Local Rule 5-1(i)(3)**

13 I, Marc M. Seltzer, am the ECF User whose ID and password are being used to file this
14 certification of Robert N. Cappucci pursuant to Local Rule 3-7(d). In compliance with Local
15 Rule 5 1(i)(3), I hereby attest that Robert N. Cappucci concurs in this filing.

16
17 Dated: October 10, 2018

18 /s/ Marc M. Seltzer

19 Marc M. Seltzer